Case No.: 56352US002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Named Inventor:

CARPENTER, BARRY S.

Application No.:

09/911950

Group Art Unit:

2874

Filed:

NNN 5 8 5003

July 24, 2001

Examiner:

Knauss

Title:

PACKAGED OPTICAL MICRO-MECHANICAL DEVICE

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents,

P.O. Box 1450, Alexandria, VA 22313-145

Signed

Dear Sir:

Pursuant to 37 CFR §§ 1.56, 1.97, and 1.98, enclosed is a completed Form PTO-1449, citing references submitted for consideration by the Examiner. A copy of each cited reference is also enclosed. It is respectfully requested that the Examiner initial and return the enclosed Form PTO-1449 to indicate that each reference has been considered.

An English-language counterpart (U.S. Pat. No. 2001/0004085 A1) is provided in addition to the abstract of EP 1108677 A1.

It is believed that no fee is due; however, in the event a fee is required, please charge the

fee to Deposit Account No. 13-3723.

Respectfully submitted,

Date

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Substitute f r f rm 1449A/PTO (modified)

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

JUN 2 6 2003

Page 1 of 1

Applicati n Number	.09/911950	
Filing Date	July 24, 2001	
First Named Inv ntor	Carp nter, Barry S.	
Art Unit	2874	
Examiner Name	Knauss	
Attorney Case Number	56352US002	

U.S. Patent Documents						
Exam. Init.*	Cite	Document Number	Publication Date or Issue Date	Name of Patentee	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
	No.	Doc. Number-(Kind Code if Known)	MM-DD-YYYY	or Applicant of Cited Document		
	A1	US- 2001/0004085 A1 (Counterpart of EP 1108677 A1)	06-21-2001	Gueissaz		
	A2	US- 2002/0131680 A1	09-19-2002	Wilson et al.		
	А3	US- 2003/0021570 A1	01-30-2003	Carpenter		
	A4	US- 2003/0021541 A1	01-30-2003	Carpenter		
	A5	US- 6,470,111 B2	10-22-2002	Mortenson et al.		
	A6	US-				
	A7	US-				
	A8	US-				
	A9	US-				
	A10	US-				
	A11	US-				
	A12	US-				
	A13	US-				
	A14	US-				

Foreign Patent Documents					ECHA		
Exam. Init.*	Cite No.	Foreign Patent Document		Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages C (Charlet Translation	
		Ctry. Code	Number-KindCode (If known)	MM-DD-YYYY	Applicant of Cited Document	or Relevant Figures Appear (Check If yes)	
	B1	EP	1108677 A1 (With esp@cenet English Abstract)	06-20-2001		Apply CENTER	
	B2	JР	2001-296486	10-26-2001		28	

OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS				
Exam. Init.*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published		
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.